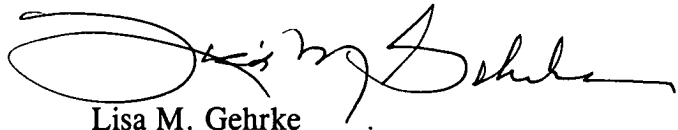


REMARKS

In view of the foregoing amendment and the above remarks, the application is believed to be in *prima facie* condition for allowance, and such action is respectfully requested. Should there be any remaining questions the attending to of which would expedite such action, the Examiner is requested to contact the undersigned at the telephone number appearing below.

Our check in the amount of \$395.00 is enclosed in payment of the fee required for the filing of a utility patent by a small entity and independent claims in excess of three. The Commissioner is hereby authorized to charge payment of any extension or additional fees associated with this or any other communication or credit any overpayment to Deposit Account No. 14-1080.

Respectfully Submitted,



Lisa M. Gehrke
Attorney for Applicant
Registration No. 38,888

Date: 08/01/01

NILLES & NILLES, S.C.
Firststar Center, Suite 2000
777 East Wisconsin Avenue
Milwaukee, WI 53202-5345
Telephone: 414/276-0977
Facsimile: 414/276-0982

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T0F0B0-T2002660

VERSION WITH MARKINGS TO SHOW CHANGES MADE

3-D [3D] HLCD SYSTEM AND METHOD OF MAKING

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to the field of three-dimensional (3-D) displays. More particularly, the present invention concerns 3-D Holographic Liquid Crystal Displays (HLCD) that do not require the viewer to wear goggles or glasses. The present invention thus relates to 3-D HLCD systems of the type that can be termed autostereoscopic. The present invention is further directed to a method of making a right-left eye view multiplexed hologram for use in the 3-D [3D] HLCD display.

2. Discussion of the Related Art

High performance display[s] systems are key components in virtually all system interfaces for scientific and engineering instrumentation. For example, three-dimensional imaging provides a great advantage in medical imaging, such as neuroscience research and other research that relies on the visualization of three-dimensional structures.

Scientific visualization, simulation, and instrument design are typically carried out using scientific workstations, powerful image-processing and computer-graphics software, and special hardware designed to render pseudo three-dimensional pictures on two-

dimensional displays. Unfortunately, these systems lack significant aspects of image content because they rely on the viewer's interpretation of two-dimensional visual depth cues to produce a three-dimensional image. Adding a third dimension to the visual display greatly enhances the viewer's ability to perceive and interpret precise spatial relations of imagery.

Several three-dimensional display techniques have been developed but their application is limited by many factors. These factors include the need for external devices such as scanners and projectors, the inability to display flicker-free images in real time, limited viewing range reduced resolution, reliance on special image data formatting and scanning, and increased cost. Therefore, a need exists for a cost-effective, three-dimensional display unit that readily interfaces with a conventional two-dimensional display format, permits real-time interactivity with wide field-of-view "look around" capability, and supports a three-dimensional autostereoscopic visualization.

SUMMARY AND OBJECTS OF THE INVENTION

It is an object of the present invention to provide a high-resolution, high quality, full color, autostereoscopic three-dimensional image[s], produced by means of a multiplexed holographic diffraction grating structure.

It is further an object of the present invention to provide a system that confines projected light to the required display and viewing structures.

It [In] is another object of the present invention to provide a three-dimensional viewing system that is cost effective, compact and compatible with conventional two-dimensional flat panel LCD display technology.

It is another object of the present invention to provide a three-dimensional viewing system that is compatible with conventional two-dimensional displays and video transmission formats.

It is another object of the present invention to provide a three-dimensional [dimensional] viewing system that provides a wide, look-around, field-of-view.

Finally, it is an object of the present invention to provide a hologram multiplexed waveguide containing a holographic recording of a right visual field and a left visual field.

In accordance with these objectives, the present invention provides an autostereoscopic, high-resolution, wide, look-around, field-of-view, compact, flat-panel, three-dimensional holographic liquid crystal display (HLCD) system. The system comprises a backlit LCD modified with a novel, switchable backlighting panel formed of special multiplexed holograms.

More specifically, the system comprises a backlight, a first light diffracting hologram, a second right-left visual field multiplexing waveguide hologram and an LCD. If the backlight is not collimated such as those used in conventional LCD displays, a micro-collimator array may be placed between the backlight and the first hologram to provide collimated light.

The system creates three-dimensional parallax depth cues by presenting each eye with a view of the object from a different perspective. Conventional three-dimensional viewing methods rely upon special viewing devices such as goggles and special viewing glasses to channel the light to the appropriate eye. The system of the current invention however, utilizes a novel right-left visual field multiplexed waveguide hologram to channel the light to either the right or left eye within the system, thereby eliminating the need for external viewing devices.

A novel right-left visual field multiplexing waveguide hologram master for use in the viewing system is formed through a recording process utilizing a combination of a photolithographic mask and a view region mask in conjunction with a waveguide holographic recording involving an object beam and a reference beam in a waveguide propagation mode. The multiplexed waveguide hologram recording process comprises a two step process; a first holographic recording corresponding to a first eye viewing zone is formed, and then a second holographic recording corresponding to the second eye viewing zone is formed. The multiplexed master waveguide hologram is then used to form holographic contact copies that provide a cost-effective method of transforming a conventional LCD display into an autostereoscopic 3-D [3D] HLCD display system. An important aspect of this invention is that the waveguide hologram provides a means to keep unwanted light from being seen by the viewer.

Another aspect of the present invention is to provide multiple [left-]right-left viewing fields and a head position tracking camera so that a correct 3-D perspective view can be displayed according to the viewer's eye position.

Other aspects and objects of the present invention will be better appreciated and understood when considered in conjunction with the following description and the accompanying drawings. It should be understood, however, that the following description, while indicating preferred embodiments of the present invention, is given by way of illustration and not of limitation. Many changes and modifications may be made within the scope of the present invention without departing from the spirit thereof, and the invention includes all such modifications.

BRIEF DESCRIPTION OF DRAWINGS

A clear conception of the advantages and features constituting the present invention, and of the construction and operation of typical mechanisms provided with the present invention, will become more readily apparent by referring to the exemplary, and therefore non-limiting, embodiments illustrated in the drawings accompanying and forming a part of this specification[:] wherein, like reference numerals designate the same elements in the several views, and in which:

Figure 1 illustrates a schematic view of the 3-D [3D] HLCD system.

Figure 2 illustrates an exploded schematic view of the system elements.

Figure 2A illustrates an exploded schematic view of the collimated light passing through LCD pixels.

Figure 3 illustrates a schematic view of the method of making a micro-collimator array.

Figure 4 illustrates a schematic view of the recording process of a [the] first hologram.

Figure 5 illustrates a schematic view of the recording process of a [the] second hologram master.

Figure 6 illustrates the alternating right and left viewing fields formed by the second hologram.

Figure 7 illustrates the positions of the photolithographic mask and view region mask during the first holographic recording and the second holographic recording.

Figure 8 illustrates a schematic view of a method of copying the second hologram master.

DETAILED DESCRIPTION OF THE INVENTION

The present invention, and the various features and advantageous details thereof, are explained more fully with reference to the non-limiting embodiments described in detail in the following description.

1. System Overview

As shown in Figure 1, the present invention provides an autostereoscopic, full-resolution, compact, wide, look-around, [flat] field-of-view, flat-panel, three-dimensional display system. The system 10 comprises a backlit LCD modified with a novel, switchable

backlighting panel formed of a [collimated] backlight 12, micro-collimator array 14, a first hologram 16, a second hologram 18 and a standard LCD 20.

When assembled and in use, the backlight 12 transmits light through the micro-collimator array 14. The micro-collimator array 14 projects the collimated light toward the first waveguide hologram 16 at a predetermined angle, preferably zero degrees. The first hologram 16 then diffracts the light with an angle greater than the critical angle, preferably 45 degrees, and disperses it into various wavelengths. The first and second holographic recordings within the second waveguide hologram 18 then deflect the light to corresponding first and second viewing zones before exiting through the corresponding odd and even pixels of the LCD display 20. Each element of the system 10 will be discussed in greater detail in the following sections.

2. The 3-D [3D] HLCD Apparatus

As shown in figures 2 and 2A, the system comprises a backlight 12, a micro-collimator array 14, a first hologram 16, a second hologram 18 and a standard LCD 20 containing pixels 21.

A. The Backlight

The backlight 12 may be a conventional collimated light. For example, the light may be a compact, low power white light collimated illumination source. The light may be formed through various methods including using a low power metal halide lamp with a Fresnel lens having a short focal length.

B. The Micro-Collimator Array and Method of Making

The micro-collimator array 14 may be used to collimate the backlight source if it is not collimated (i.e. diffused). The pinhole formed at the focal point of each micro-lens[e] concentrates and collimates the light. The micro-collimator array 14 is formed through the following process as shown in Figure 3.

An appropriate commercially available micro-lens master 22 is selected. A rubber negative 24 of the master is formed of rubber or other suitable material. A substrate 26 is coated with UV curable epoxy 28 preferably having an index of refraction between 1.48 and 1.65. The rubber negative 24 is then pressed into the epoxy 28 to form the micro-lenses 22 of the micro-collimated array 14. As the rubber negative 24 is pressed into the epoxy 28, UV light is directed through the epoxy coated substrate 26 to cure the epoxy 28. After the rubber negative 24 is removed, the substrate [26] side of the micro-collimator array 14 is coated with a mixture of black dye [and] containing Shipley positive photoresist composition. UV light is then directed through each [of the] micro-lens[es] 22 . The curvature of each [the] micro-lens[es] 22 focuses the UV light on focal points 30 along the substrate 26, softening the substrate at each focal point 30. An etching solution is then applied to the substrate 26, forming the pinholes within the micro-collimator array 14.

C. The First Hologram and Method of Making

Referring now to figure 4, the first hologram 16 is used to direct the light to the second hologram at a predetermined angle preferably between 45 [to]- 50 degrees off [the] horizontal (i.e., greater than the angle of reflection) which [and] spreads the light into red, green and blue spectral images. The first hologram is formed [from] through a

conventional two-beam recording process. More specifically, a holographic plate substrate 32 is coated with a photosensitive emulsion 34 such as silver halide. A diffused object beam and a collimated reference beam pass through the substrate 32 and into the emulsion 34 to record a hologram that disperses light between 45-50 degrees off horizontal. The resulting hologram disperses the light projected through the micro-collimator array 14 into separate red, green and blue spectral images.

D. The Second Hologram and Method of Making

The second hologram 18₂ as shown in figure 5₂ comprises two separate interlaced holographic recordings. One recording corresponds to a right visual field and the other corresponds to a left visual field. When viewed, the final hologram produces alternating right and left holographic recordings that correspond[s] directly to pixels in the LCD as illustrated in figure 6 and create a three-dimensional effect [which creates a three-dimensional effect]. Each alternating right and left recording is a predetermined width. This width is equal to[t] the [he] width of a[n] standard LCD pixel, preferably 200 microns[, the width of a standard LCD pixel].

The second hologram 18 is a [or the] right-left interlaced hologram master [is] formed through the following process. As shown in figure 5₂ a holographic plate or substrate 36 is coated with a photosensitive emulsion to form an emulsion layer 38, preferably silver halide. The coated substrate 36 is then mounted onto a gray glass plate holder 40 so that the emulsion layer 38 is facing away from the gray glass plate holder 40.

The exposed side of the emulsion layer is then coated with an indexing fluid 44 such as ISOPAR ®.

1. The [p]Photolithographic [m]Mask

A photolithographic mask 44 is placed over the emulsion layer 38 and coated with indexing fluid 46 [44] prior to recording. The mask 44 [46] provides a series of equally spaced lines. Each line having a width equal to the width of a standard LCD pixel, preferably between 200 to 300 microns. For example, if the odd number lines are black 48 and the even number lines are clear 50, the odd number lines act as a mask, blocking holographic recording under the odd numbered lines of the mask 44 [48].

2. View [r]Region [m]Mask

It is well known that humans perceive three-dimensions because the information received by the right eye is slightly different than the information received by the left eye. The separation of approximately 2.5 inches between the right and left eyes results in these perceived differences which converge to provide depth cues that create a three-dimensional effect. This concept is mimicked through the use of the view region mask 52 during the holographic recording process as shown in figure 5.

A view region mask diffuser 52 is used to block out either the right [left] visual field or the left [right] visual field during a holographic recording. The view region mask diffuser 52 comprises a substrate 54 and a view region mask 56.

The view region mask 56 provides a series of blacked out regions 58. For example, [E]each blacked out region 58 may be [is] 2.5 inches wide and 2.5 inches apart from the

next blacked out region 58. During the recording process, the view region mask 56 is placed in the path of the object beam. The blacked out region 58 will block out either the right [left] or the left [right] half of the object beam.

The view region mask 56 is used in conjunction with the photolithographic mask 46 to create a first holographic recording and a second holographic recording which interlace to create separate right and left visual fields.

Referring now to figure 7, both the photolithographic mask 46 and the view region mask 56 have a first position and a second position. During the first holographic recording, both the photolithographic mask 46 and the view region mask 56 must be in a first position. Both the photolithographic mask 46 and the view region mask 56 must then be shifted into their second position before the commencement of the second holographic recording.

For example, if the photolithographic mask 46 masks the area corresponding to the odd number pixels of the LCD, and the view region mask 56 blocks the right viewing region during the formation of the first holographic recording, the photolithographic mask 46 must mask the area corresponding to the even numbered pixels of the LCD and, the view region mask 56 must block the left viewing region during the formation of the second holographic recording.

The holographic recordings are formed through a two-beam recording process as shown in figure 5. The first holographic recording is formed by passing the object beam through the view region mask [diffuser] 56 positioned at a distance equal to the systems

desired playback distance and then through the photolithographic mask 46. The reference beam passes through the photolithographic mask 46 at an angle equal to the reference angle used to record the first hologram, preferably between 45-50 degrees off horizontal. The photolithographic mask 46 and the view region mask 56 are then shifted from the first position to the second and the recording is repeated to form the second holographic recording.

The first holographic recording creates a plurality of holograms of a predetermined width, which are separated by blank spaces of the same predetermined width. The second holographic recording creates a plurality of holograms with slits that fill in the blank spaces present after the first holographic recording.

Copies of the right-left interlaced hologram master 18 are then made through contact copying wherein the right-left interlaced hologram master 18 is copied with conventional procedure utilizing a 45 degree reference laser beam having a wavelength of 514 nanometers.

More specifically, as shown in figure 8, a contact copy 58 of the right-left interlaced hologram is formed through the following process. A holographic plate 60 coated with a photosensitive emulsion 62, such as silver halide[, which forms the contact copy 58,] is loaded onto a gray glass plate holder 40 with the emulsion 62 in contact with the gray glass plate 40. A glass spacer 64 is positioned over the holographic plate 60. The spacer 64 is preferably 1mm thick. The right-left interlaced hologram master 18 is then positioned over the glass spacer 64 so that the emulsion coated side 38 of the right-left

interlaced hologram master 18 is in contact with the spacer 64. The coated holographic plate 60, the spacer 64 and the right-left interlaced hologram master 18 are indexed so that the emulsion coating on the holographic plate and the emulsion layer on the master are separated by a distance equal to the thickness of the LCD substrate, preferably 1mm. The contact copy 58 is then recorded by passing a single reference beam through the master 18, spacer 64, holographic plate 60, and emulsion layer 62 at a predetermined angle, preferably 45 degrees, for a predetermined exposure time. The contact copy 58 is then developed through photochemical processing.

There are virtually innumerable uses for the present invention, all of which need not be detailed here. All the disclosed embodiments can be practiced without undue experimentation.

Although the best mode contemplated by the inventors of carrying out the present invention is disclosed above, practice of the present invention is not limited thereto. It will be manifest that various additions, modifications and rearrangements of the features of the present invention may be made without deviating from the spirit and scope of the underlying inventive concept. For example, the individual components need not be fabricated from the disclosed materials, but could be fabricated from virtually any suitable materials. Further, all the disclosed features of each disclosed embodiment can be combined with, or substituted for, the disclosed features of every other disclosed embodiment except where such features are mutually exclusive.

It is intended that the appended claims cover all such additions, modifications and rearrangements. Expedient embodiments of the present invention are differentiated by the appended subclaims.

0920071-080401

**Petition For Revival Of An Application For Patent Abandoned
Unintentionally Under 37 CFR 1.137(b) (Small Entity)**

Docket No.
16LP-134175

In Re Application Of: Aye et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/920,071	8/1/01	Leonidas BOUTSIKARIS	30764	2872	4421

Invention: 3-D HLCD SYSTEM AND METHOD OF MAKING

Attention: Office of Petitions
Mail Stop Petition
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper response to a notice or action by the Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extension of time actually obtained.

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

NOTE: A grantable petition requires the following items:

- (1) Petition fee;
- (2) Reply and/or issue fee;
- (3) Terminal disclaimer with disclaimer fee--required for all utility and plant applications filed before June 8, 1995; and for all design applications; and
- (4) Statement that the entire delay was unintentional.

1. ☒ A proposed reply to the above-identified Office Action:

☒ is enclosed. ☐ was filed on _____

The proposed reply is in the form of: _____

2. ☐ The issue fee:

☐ is enclosed. ☐ was paid on _____

3. ☒ Applicant claims small entity status. See 37 CFR 1.27

4. ☐ The abandoned application was a:

☐ design application. ☒ utility application. ☐ plant application.

5. ☐ A terminal disclaimer (and fee) disclaiming a period equivalent to the period of abandonment is enclosed.

6. ☒ Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.

**Petition For Revival Of An Application For Patent Abandoned
Unintentionally Under 37 CFR 1.137(b) (Small Entity)**

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09/920,071	8/1/01	Leonidas BOUTSIKARIS	30764	2872	4421

Invention: **3-D HLCD SYSTEM AND METHOD OF MAKING**

Calculation and Payment of Fees

Enclosed are the following fees:

7. ☒ Petition fee under 37 CFR 1.17(m) in the amount of: \$770.00
8. ☐ Fee for reply in the amount of: _____
9. ☐ Issue fee in the amount of: _____
10. ☐ Continuing application filing fee in the amount of: _____
11. ☐ Terminal disclaimer fee in the amount of: _____
12. ☐ _____
- Total fees enclosed: \$770.00

The fee of **\$770** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-1853**
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Petition For Revival Of An Application For Patent Abandoned
Unintentionally Under 37 CFR 1.137(b) (Small Entity)**

Docket No.
16LP-134175

In Re Application Of:
Aye et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
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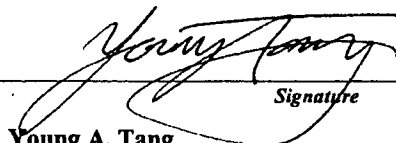
Invention: **3-D HLCD SYSTEM AND METHOD OF MAKING**

Statement

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.


Signature

Young A. Tang
Reg. No. 55,665
SHEPPARD MULLIN RICHTER & HAMPTON LLP
333 S. Hope Street, 48th Floor
Los Angeles, CA 90071
Telephone: 858-720-8900
Facsimile: 858-509-3691

Dated: **December 6, 2007**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: